PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Pacerwork Reduction Act of 1995, no cersons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/645,832 BADE TRANSMITTAL Filing Date August 20, 2003 FORM First Named Inventor Spencer B. Dick Art Unit (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 7 **PAI 309C** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): return receipt postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

# CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Pamela A. Knight

Firm

Individual name Signature

Signature

Pierre C. Van Rysselberghe Kolisch Hartwell, P.C.

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

January 27, 2004



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

January 27, 2004

PHILIP SAWYER, DAVID A. MORGAN and DAVID LEE

Serial No.

10/645,832

Our File - PAI 309C

Filed

.

August 20, 2003

For

APPARATUS AND METHODS FOR DOUBLE

**ENDED PROCESSING** 

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicants are submitting this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicants respectfully request that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

### CONTENT OF DISCLOSURE

This Information Disclosure Statement includes (1) four (4) pages of PTO-1449 forms, and (2) a legible copy of each foreign and non-patent reference, if any, listed on the form(s). However, because this application was filed on or after July 1, 2003, no copies of U.S. patents or published U.S. patent applications are included.

## TIMING OF DISCLOSURE / FEE INFORMATION

This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Therefore, in accordance with 37 C.F.R. § 1.97(b), no fee or statement under 37 C.F.R. § 1.97(e) is required.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on January 27, 2004.

Date of Signature: January 27, 2004

Respectfully submitted,

KOLISCH HARTWELL, P.C.

Pierre C. Van Rysselberghe

Registration No. 33,557 Customer No. 23581

520 S.W. Yamhill Street, Suite 200

Portland, Oregon 97204 Telephone: (503) 224-6655

Facsimile: (503) 295-6679 of Attorneys for Assignee

	O 1 P & JAN 2 9 200	4 100 P						s	неет		OF <u>4</u>
FORM PTO-1449			1	CKET NUMBER AI 309C		APPLICATION NUMBER 1,0/645,832					R
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			PLICANT(S) nilip Sawyer, et al	r, et al.							
				ING DATE ugust 20, 2003	GROUP ART UNIT						
		U.S. PAT	EN'	Γ DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME		CLA	ss	SU CLA			. DATE APPROP.
	Re.35,663	11/18/97	M	ori et al.							
	2,577,766	12/11/51	Jo	hnson et al.	-						
	3,170,736	2/23/65	W	right							
	3,566,239	7/3/67	Ta	niguchi							
	3,584,284	9/12/69	В	each							
	3,814,153	6/4/74	So	chmidt							
	3,854,889	12/17/74	Le	emelson							
	4,221,974	9/9/80	M	ueller et al.							
	4,260,001	4/7/81	D	e Muynck							
	4,358,166	11/9/82	A	ntoine							
١,	4,453,838	6/12/84	Lo	oizeau							
		FOREIGN P.	ATI	ENT DOCUMEN'	TS						
	DOCUMENT NUMBER	DATE		COUNTRY	CL	CLASS SU CLA			TRANS YES		ATION NO
		11.484			ļ						<u></u>
		OTHE	R D	OCUMENTS							
										<u> </u>	
			<del>-</del>								
EXAMINER			DATE CONSIDERED								



FORM PTO-1449 **DOCKET NUMBER** APPLICATION NUMBER **PAI 309C** 10/645,832 INFORMATION DISCLOSURE APPLICANT(S) CITATION IN AN APPLICATION Philip Sawyer et al. FILING DATE **GROUP ART UNIT** August 20, 2003 **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT DATE NAME CLASS SUB FIL. DATE INITIAL NUMBER CLASS IF APPROP. Johnstone et al. 4,472,783 9/18/84 Pearl 4,725,961 2/16/88 Rosenthal 4,874,996 10/17/89 4,878,524 11/7/89 Rosenthal et al. 10/8/91 Ide 5,054,938 3/30/93 Takagi et al. 5,197,172 10/5/93 Cramer 5,251,142 11/30/93 Makino et al. 5,266,878 5,365,812 11/22/94 Harnden 5/23/95 Holmes et al. 5,418,729 • 8/22/95 Blaine et al. 5,444,635 FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS SUB TRANSLATION CLASS NUMBER NO YES OTHER DOCUMENTS DATE CONSIDERED **EXAMINER** 



FORM PTO-1449				CKET NUMBER AI 309C		APPLICATION NUMBER 10/645,832						
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		APPLICANT(S) Philip Sawyer et al.										
				ING DATE agust 20,2003		GROUP ART UNIT						
		U.S. PAT	ENT	DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME		CLAS		SUB CLASS		L. DATE APPROP.		
	5,472,028	12/5/95	Fa	ulhaber						3		
	5,489,155	2/6/96	Ide	2				-				
	5,524,514	6/11/96	На	daway et al.								
	5,664,888	9/9/97	Sa	bin								
	5,797,685	8/25/98	Ju	rik et al.								
	5,798,929	8/25/98	Sto	enzel et al.		•						
	5,829,892	11/3/98	Gı	roves								
	5,865,080	2/2/99	Ja	ckson						·		
	5,933,353	8/3/99	Al	oriam et al.								
	5,938,344	8/17/99	Sa	bin								
. 3	5,953,232	9/14/99	Bl	aimschein	-							
•		FOREIGN P	ATE	ENT DOCUMENT	ΓS					=		
	DOCUMENT NUMBER	DATE		COUNTRY	CL	ĄSS	SUB CLASS	YI		LATION NO		
	,											
		ОТНЕ	R D	OCUMENTS								
EXAMINER		•		DATE CONSIDERED								

OLPER	
JAN 2 9 2004 2	
TRADE MARTINE	

FORM PTO-1449		DOCKET NUMBER PAI 309C	APPLICATION NUMBER 10/645,832							
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		APPLICANT(S) Philip Sawyer et al.								
			FILING DATE August 20, 2003	GROUP ART UNIT						
•		U.S. PAT	ENT DOCUMENTS	5						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME		CLASS		JB ASS		L. DATE APPROP.	
	5,964,536	10/12/99	Kinoshita							
	6,144,895	11/7/00	Govindaraj et al.							
	6,263,773	7/24/01	McAdoo et al.							
	6,272,437	8/7/01	Woods et al.				,			
	6,379,048	4/30/02	Brissette				,			
	6,463,352	10/8/02	Tadokoro et al.							
	6,470,377	10/22/02	Sevcik et al.							
	6,510,361	1/21/03	Govindaraj et al.							
	6,520,228	2/18/03	Kennedy et al.							
1										
•		FOREIGN P	ATENT DOCUMEN	1TS						
	DOCUMENT NUMBER	DATE	COUNTRY	CL	ASS	SUB CLASS			ATION NO	
	,	OTHE	R DOCUMENTS							
			,							
EXAMINER			DATE CONSIDERED							